

Exhibit 300: Part I: Summary Information and Justification (All Capital Assets)**I.A. Overview**

1. Date of Submission:	12/29/2006
2. Agency:	Small Business Administration
3. Bureau:	Chief Financial Officer
4. Name of this Capital Asset:	OCFO: Oracle Administrative Accounting/JAAMS 1.0
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)	028-00-01-01-01-1001-00
6. What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)	Operations and Maintenance
7. What was the first budget year this investment was submitted to OMB?	FY2001 or earlier
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: The Oracle Administrative Accounting System/JAAMS 1.0 is a financial management system; it is used for SBA's administrative accounting. It is the system of record for the funding and expenditure of SBA's administrative funds. Since the inception of SBA's Business Technology Investment Council (BTIC), members have monitored and received details on the status of JAAMS 1.0 from the CFO. In FY06, SBA had contractual support for improved functionality.	
9. Did the Agency's Executive/Investment Committee approve this request?	Yes
a. If "yes," what was the date of this approval?	7/19/2006
10. Did the Project Manager review this Exhibit?	Yes
11. Contact information of Project Manager?	
Name	
Phone Number	
Email	
12. Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or	Yes

practices for this project.

a. Will this investment include electronic assets (including computers)?	No
b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
1. If "yes," is an ESPC or UESC being used to help fund this investment?	No
2. If "yes," will this investment meet sustainable design principles?	No
3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
13. Does this investment support one of the PMA initiatives?	No
If "yes," check all that apply:	
13a. Briefly describe how this asset directly supports the identified initiative(s)?	
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part .)	No
a. If "yes," does this investment address a weakness found during the PART review?	No
b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?	
c. If "yes," what PART rating did it receive?	
15. Is this investment for information technology?	Yes
If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.	
For information technology investments only:	
16. What is the level of the IT Project? (per CIO Council PM Guidance)	Level 2
17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance):	(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as "high risk" on the Q4 - FY	No

2006 agency high risk report (per OMB's "high risk" memo)?

19. Is this a financial management system?	Yes
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a. If "yes," does this investment address a FFMIA compliance area?	Yes
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1. If "yes," which compliance area:	Agency-Wide Information Systems Controls
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2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52
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Oracle Administrative Accounting System/JAAMS 1.0

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware	0
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Software	0
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Services	0
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Other	0
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21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
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22. Contact information of individual responsible for privacy related questions:

Name

Phone Number

Title	
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E-mail

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes
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I.B. Summary of Funding

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row

designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 and Beyond	Total
Planning									
Budgetary Resources	0	0	0	0					
Acquisition									
Budgetary Resources	0	0	0	0					
Subtotal Planning & Acquisition									
Budgetary Resources	0	0	0	0					
Operations & Maintenance									
Budgetary Resources	5.412	1.799	0.932	0.97					
TOTAL									
Budgetary Resources	5.412	1.799	0.932	0.97					
Government FTE Costs									
Budgetary Resources	0.6	0.31	0.32	0.33					
Number of FTE represented by Costs:	0	2.60	2.60	2.60					

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes:

There were software/licensing cost increase for Oracle Administrative Accounting/JAAMS 1.0. SBA conducted a careful analysis of the licenses we procured in 2001 and the licenses we require to do our business. After the analysis, we determined there were licenses that we needed to do our business and some that we could discontinue. Therefore our software/licenses increased for FY2006 and hence the increases in FY2007 and onward.

I.C. Acquisition/Contract Strategy

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this

investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

The contract was awarded to Corio/IBM for hosting application services as a firm-fixed price contract. There are currently SLAs in place which are measured each contract month and cover the following: 1/availability of the production application, 2/service request response times for severity 1, 2, 3 problems, and 3/ transaction performance. EVM was not a requirement when contract was initially awarded.

3. Do the contracts ensure Section 508 compliance?

Yes

a. Explain why:

4. Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

a. If "yes," what is the date?

1/31/2003

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

I.D. Performance Information

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:

Fiscal	Strategic Goal(s)	Performance Measure	Actual/baseline (from	Planned Performance	Performance Metric
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Year	Supported		Previous Year)	Metric (Target)	Results (Actual)
2004	Improve Financial Management	Zero material weaknesses attributed to JA2MS 1.0	Unqualified opinion for financial reporting	Number of material weaknesses	0
2004	Improve Financial Management	Reduce operations and maintenance costs	FY03 operations and maintenance costs: \$2M; FY02 operations and maintenance costs: \$2.76M	Decrease cost by \$500K	Approximately 600K has been saved when compared against FY02's budget of 2M
2005	Improve Financial Management	Zero material weaknesses attributed to the Oracle system	Unqualified opinion for financial reporting	Number of material weaknesses attributed to Oracle	0
2006	Improve Financial Management	Zero material weaknesses attributed to the Oracle system	Unqualified opinion for financial reporting	Number of material weaknesses attributed to Oracle	To be determined
2007	Improve Financial Management	Zero material weaknesses attributed to JA2MS 1.0	Unqualified opinion for financial reporting	Number of material weaknesses	To be determined
2008	Improve Financial Management	Zero material weaknesses attributed to JA2MS 1.0	Unqualified opinion for financial reporting	Number of material weaknesses	To be determined

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:

Fiscal Year	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
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I.E. Security and Privacy

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in

parallel with the development of the system/s to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system/s.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:	Yes
a. If "yes," provide the "Percentage IT Security" for the budget year:	0
2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.	Yes

3. Systems in Planning - Security Table:

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Planned or Actual C&A Completion Date
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4. Operational Systems - Security Table:

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level	Has C&A been Completed, using NIST 800-37?	Date C&A Complete	What standards were used for the Security Controls tests?	Date Complete(d): Security Control Testing	Date the contingency plan tested
Oracle Administrative Accounting/JAAMS 1.0	Contractor Only	Moderate	Yes	9/30/2006	FIPS 200 / NIST 800-53	9/30/2006	4/11/2006

5. Have any weaknesses related to any of the systems part of or supporting this investment been identified by the agency or IG?	No
a. If "yes," have those weaknesses been incorporated agency's plan of action and milestone process?	Yes
6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?	No
a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.	

7. How are contractor security procedures monitored, verified, validated by the agency for the contractor systems above?

Audit accounts against personnel actions (terminations) monthly. Remove/disable any existing accounts for terminated employees monthly. Verify contract employees have completed security awareness training annually. Verify audit policies are established and controlled by the CISO daily. IBM will monitor firewall audit logs daily. SBA and IBM both perform continuous monitoring and investigating of IDS log/alerts. Compile incident data and report incidents to Fedcirc, including viruses, spam, and any others monthly. Conduct FISMA Self Assessment on an adhoc basis. Complete security certification and accreditation every three years. Maintain list of vulnerabilities and status in the FISMA INFOSEC database and report status quarterly. Verify monitoring of security controls in the system and changes to the system yearly. Report significant changes to the CISO continuously. Conduct C&A activities continuously. Verify JAAMS unique userid to user and user authenticated password annually. Test the COOP and System Recovery Plans (SRP) for the JAAMS system servers annually. Provide contingency training with COOP/SRP test annually. Review the system security plan and planning documents annually. Verify organization updates every three years. Conduct vulnerability scans using Foundstone quarterly. Ensure our Application Service Provider (ASP) has completed a SAS 70 certification and security

requirements pertaining to GSA on an adhoc basis. SBA has contracted with Corio since July 2003 to host SBA's Oracle Administrative Accounting/JAAMS 1.0 system. The Oracle applications and database are hosted by the cross-servicing vendor at Tempe, Arizona. Security requirements pertaining to GSA contracts are applicable to the ASP contract. SBA performs site-visits to monitor and validate the contractor's security procedures, etc., and will issue an updated Certification and Accreditation in September 2006. In addition, Corio has a SAS 70 certification.

8. Planning & Operational Systems - Privacy Table:					
Name of System	Is this a new system?	Is there a Privacy Impact Assessment (PIA) that covers this system?	Is the PIA available to the public?	Is a System of Records Notice (SORN) required for this system?	Was a new or amended SORN published in FY 06?
Oracle Administrative Accounting/JAAMS 1.0	No	Yes.	Yes.	Yes	No, because the existing Privacy Act system of records was not substantially revised in FY 06.

I.F. Enterprise Architecture (EA)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?	Yes
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a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?	Yes
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a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.	This investment is identified in the Transition Migration and Sequencing Plan in the Transition Strategy (Name & Location/Exhibit): Joint Accounting and Administrative Management System (JA2MS) 1.0, described in
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b. If "no," please explain why?

3. Service Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
Data Exchange	Support the interchange of information between multiple systems or applications; includes verification that transmitted data was received unaltered.	Back Office Services	Data Management	Data Exchange			No Reuse	46
Activity-Based Management	Support a defined, specific set of finance-related tasks for a given objective.	Back Office Services	Financial Management	Activity-Based Management			No Reuse	0
Auditing	Support the examination and verification of records for accuracy.	Back Office Services	Financial Management	Auditing			No Reuse	0
Billing and Accounting	Support the charging, collection and reporting of an organization's accounts.	Back Office Services	Financial Management	Billing and Accounting			No Reuse	10
Credit/ Charge	Support the use of credit cards or electronic funds transfers for payment and collection of products or services.	Back Office Services	Financial Management	Credit / Charge			No Reuse	10
Debt Collection	Support the process of accounts receivable.	Back Office Services	Financial Management	Debt Collection			No Reuse	10
Expense Management	Support the management and reimbursement of costs paid by employees or an organization.	Back Office Services	Financial Management	Expense Management			No Reuse	10
Internal Controls	Support the methods and procedures used by the organization to safeguard its assets, produce accurate accounting data and reports, contribute to efficient	Back Office Services	Financial Management	Internal Controls			No Reuse	4

	operations, and encourage staff to adhere to management policies and mission requirements.							
Payment/Settlement	Support the process of accounts payable.	Back Office Services	Financial Management	Payment / Settlement			No Reuse	10
Payroll	Involve the administration and determination of employee's compensation.	Back Office Services	Financial Management	Payroll			No Reuse	0
Ad-Hoc	Support the use of dynamic reports on an as needed basis.	Business Analytical Services	Reporting	Ad Hoc			No Reuse	0
OLAP	Support the analysis of information that has been summarized into multidimensional views and hierarchies.	Business Analytical Services	Reporting	OLAP			No Reuse	0
Standardized/Canned	Support the use of pre-conceived or pre-written reports.	Business Analytical Services	Reporting	Standardized / Canned			No Reuse	0
Business Rule Management	Manage the enterprise processes that support an organization and its policies.	Business Management Services	Management of Processes	Business Rule Management			No Reuse	0
Change Management	Control the process for updates or modifications to the existing documents, software or business processes of an organization.	Business Management Services	Management of Processes	Change Management			No Reuse	0
Configuration Management	Control the hardware and software environments, as well as documents of an organization.	Business Management Services	Management of Processes	Configuration Management			No Reuse	0
Invoice/ Requisition Tracking and Approval	Support the identification of where a shipment or delivery is within the business cycle.	Business Management Services	Supply Chain Management	Invoice / Requisition Tracking and Approval			No Reuse	0
Ordering/ Purchasing	Allow the placement of request for a product.	Business Management Services	Supply Chain Management	Procurement			No Reuse	0
Categorization	Allow classification of data and information into specific layers or types to support an organization.	Digital Asset Services	Knowledge Management	Categorization			No Reuse	0
Information Retrieval	Allow access to data and information for use by an	Digital Asset Services	Knowledge Management	Information Retrieval			No Reuse	0

	organization and its stakeholders.							
Information Sharing	Support the use of documents and data in a multi-user environment for use by an organization and its stakeholders.	Digital Asset Services	Knowledge Management	Information Sharing			No Reuse	0
Knowledge capture	Facilitate collection of data and information.	Digital Asset Services	Knowledge Management	Knowledge Capture			No Reuse	0
Knowledge Distribution and Delivery	Support the transfer of knowledge to the end customer.	Digital Asset Services	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	0
Process Tracking	Allow the monitoring of activities within the business cycle.	Process Automation Services	Tracking and Workflow	Process Tracking			No Reuse	0
Email	Support the transmission of memos and messages over a network.	Support Services	Collaboration	Email			No Reuse	0
Classification	Support selection and retrieval of records organized by shared characteristics in content or context.	Support Services	Search	Classification			No Reuse	0
Query	Support retrieval of records that satisfy specific query selection criteria	Support Services	Search	Query			No Reuse	0
Access Control	Support the management of permissions for logging onto a computer, application, service, or network; includes user management and role/privilege management.	Support Services	Security Management	Access Control			No Reuse	0
Audit Trail Capture and Analysis	Support the identification and monitoring of activities within an application, system, or network.	Support Services	Security Management	Audit Trail Capture and Analysis			No Reuse	0
Identification and Authentication	Support obtaining information about those parties attempting to log on to a system or application for security purposes and the validation of those users.	Support Services	Security Management	Identification and Authentication			No Reuse	0

Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

4. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Business Rule Management	Component Framework	Business Logic	Platform Independent	Java based APIs, etc
Data Exchange	Component Framework	Data Management	Database Connectivity	Open architecture
Information Retrieval	Component Framework	Data Management	Reporting and Analysis	Oracle tools
Access Control	Component Framework	Security	Supporting Security Services	Secure Shell (SSH)
Email	Service Access and Delivery	Access Channels	Collaboration / Communications	Email notifications are automatically generated
Data Exchange	Service Access and Delivery	Delivery Channels	Intranet	Oracle applications are web-enabled and are available to SBA users nation-wide.
Data Exchange	Service Access and Delivery	Service Requirements	Hosting	Corio/IBM is SBA's Application Service Provider for the Oracle accounting applications effective 9/2/03
Business Rule Management	Service Interface and Integration	Integration	Enterprise Application Integration	Document Type Definition (DTD)
Data Exchange	Service Interface and Integration	Integration	Middleware	Database Access: NET8
Data Exchange	Service Interface and Integration	Integration	Middleware	Database Access: PL/SQL
Data Exchange	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Data Exchange	Service Interface and Integration	Interface	Service Description / Interface	Automated interface processes with other company/agency systems
Data Exchange	Service Platform and Infrastructure	Database / Storage	Database	Oracle 9i

Data Exchange	Service Platform and Infrastructure	Delivery Servers	Application Servers	Oracle application servers
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Hosting - IBM iSRVCE
Activity-Based Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Auditing	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Billing and Accounting	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Credit / Charge	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Debt Collection	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Expense Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Internal Controls	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Payment / Settlement	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Payroll	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Ad Hoc	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
OLAP	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Standardized / Canned	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Ordering / Purchasing	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Process Tracking	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Classification	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Query	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Audit Trail Capture and Analysis	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Identification and	Service Platform and	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9

Authentication	Infrastructure			
Categorization	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Information Sharing	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Knowledge Capture	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9
Knowledge Distribution and Delivery	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Federal Financials 11.5.9

Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

a. If "yes," please describe.

Yes, SBA will be able to interface with other government-wide applications through Oracle's open architecture.

6. Does this investment provide the public with access to a government automated information system? No

a. If "yes," does customer access require specific software (e.g., a specific web browser version)?

1. If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)

III.A. Risk Management

Part III should be completed only for investments which will be in "Operation and Maintenance" (Steady State) in FY 2008,

i.e., selected the "Operation and Maintenance" choice in response to Question 6 in Part I, Section A above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan? Yes

a. If "yes," what is the date of the plan? 7/16/2004

b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? No

c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?

a. If "yes," what is the planned completion date?

b. If "no," what is the strategy for managing the risks?

III.B. Cost and Schedule Performance

1. Was operational analysis conducted? No

a. If "yes," provide the date the analysis was completed.

b. If "yes," what were the results?

c. If "no," please explain why it was not conducted and if there are any plans to conduct operational analysis in the future:

We are in the process of initiating a high level Operational Analysis.

Complete the following table to compare actual cost performance against the planned cost performance baseline. Milestones reported may include specific individual scheduled preventative and predictable corrective maintenance activities, or may be the total of planned annual operation and maintenance efforts:

a. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)? Contractor and Government

2. Comparison of Plan vs. Actual Performance Table

Comparison of Plan vs. Actual Performance Table

Milestone Number	Description of Milestone	Planned		Actual		Variance	
		Completion Date	Total Cost	Completion Date	Total Cost	Schedule (# days)	Cost
1	UNISYS maintenance , Licensing, Upgrade and Consulting	09/30/2003	\$3,305.000	09/30/2003	\$2,000.000	0	\$1,305.000
2	Complete Post Implementation Review (**Note: Funding for PIR in OCIO budget; not a direct cost to JA2MS)	03/31/2003	\$0.000	12/06/2002	\$0.000	115	\$0.000
3	Transition to new ASP	09/30/2003	\$191.740	09/30/2003	\$191.740	0	\$0.000
4	Hosting, Consulting and upgrade to Oracle Release 11i	10/29/2004	\$1,578.000	09/30/2004	\$1,578.000	29	\$0.000
5	Cross-servicing and consulting	09/30/2005	\$826.412	09/30/2005	\$783.412	0	\$43.000
7	Cross-servicing, license migration and consulting	09/30/2006	\$863.712	07/31/2006	\$1,519.836	61	(\$656.124)
8	Cross-servicing, license renewal and consulting	09/30/2007	\$931.585				
9	Cross-servicing, license renewal and consulting	09/30/2008	\$969.785				
10	Cross-servicing, license renewal and consulting	09/30/2009	\$0				
Project Totals		09/30/2009	\$0	07/31/2006	\$0	1157	\$0